

Extreme supercharacters of the infinite unitriangular group

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A BRANCHING SCHEME is a pair

$$(\Gamma, \kappa)$$

consisting of

- a *graded graph* with
 - vertex set: $\Gamma = \bigcup_{n \geq 0} \Gamma_n$
 - edge set: $\mathcal{A}(\Gamma) \subseteq \bigcup_{n \geq 0} (\Gamma_n \times \Gamma_{n+1})$

where the edges only connect vertices from neighbouring levels,

- a *multiplicity function*

$$\kappa: \mathcal{A}(\Gamma) \rightarrow \mathbb{N}, \quad (\lambda, \Lambda) \mapsto \kappa(\lambda, \Lambda).$$

We assume that there is a single vertex of level 0 and we denote it by \emptyset ; thus, $\Gamma_0 = \{\emptyset\}$.

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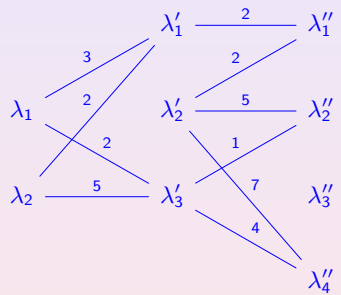
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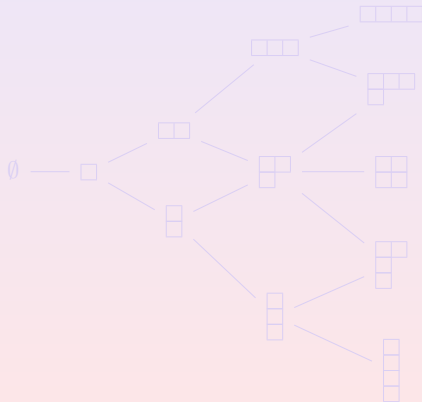
... Γ_{n-1} Γ_n Γ_{n+1} ...



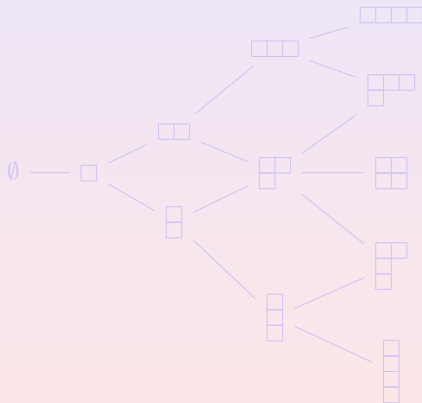
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The YOUNG'S SCHEME (Γ, κ) is associated with the irreducible characters of the symmetric groups S_n (for $n \in \mathbb{N}$).

- Each vertex $\lambda \in \Gamma_n$ corresponds to an irreducible character χ_λ of S_n (and vice versa).
- For $\lambda \in \Gamma_{n-1}$ and $\Lambda \in \Gamma_n$, the multiplicity $\kappa(\lambda, \Lambda)$ is determined by restriction

$$\text{Res}_{S_{n-1}}^{S_n}(\chi_\Lambda) = \sum_{\lambda \in \Gamma_{n-1}} \kappa(\lambda, \Lambda) \chi_\lambda.$$

Or, equivalently, by induction

$$\text{Ind}_{S_{n-1}}^{S_n}(\chi_\lambda) = \sum_{\Lambda \in \Gamma_n} \kappa(\lambda, \Lambda) \chi_\Lambda.$$

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A scheme with set partitions)

For each $n \in \mathbb{N}$, we consider

$$\Gamma_n = \{\text{partitions of the set } [n]\}$$

where $[n] = \{1, 2, \dots, n\}$..

- If λ is a partition of $[n]$ and $1 \leq i < j \leq n$, the pair (i, j) is a *arc* of λ if i and j occur in the same block B of λ and there is no $k \in B$ with $i < k < j$; we denote by $D(\lambda)$ the set of arcs of λ .
- The *standard representation* of λ is the graph with vertices $1, 2, \dots, n$ and edges $D(\lambda)$. For example, $\lambda = 157/3/4/689$ has a standard representation



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Let:

- \mathbb{F}_q the finite field with q elements.
- $u_n(q)$ the nilpotent \mathbb{F}_q -algebra nilpotente consisting of all (strictly) upper-triangular $n \times n$ matrices with coefficients in \mathbb{F}_q .
- $U_n(q) = 1 + u_n(q)$ the UNITRIANGULAR GROUP over \mathbb{F}_q .

To each partition λ of $[n]$ we associate the matrix

$$e_\lambda = \sum_{(i,j) \in D(\lambda)} e_{i,j}$$

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For example, for $\lambda = 157/23/4/689$,

$$e_\lambda = \begin{pmatrix} 0 & \text{-----} & 1 \\ & 0 & -1 \\ & & | \\ & & 0 \\ & & & 0 \\ & & & & 0 & \text{-----} & 1 \\ & & & & & | \\ & & & & & 0 & -1 \\ & & & & & & | \\ & & & & & & 0 & -1 \\ & & & & & & & | \\ & & & & & & & 0 \end{pmatrix}$$

For each partition λ of $[n]$, we define the SUPERCLASS

$$\mathcal{K}_\lambda = 1 + B_n(q)e_\lambda B_n(q) \subseteq U_n(q)$$

where

$$B_n(q) = \{\text{invertible upper-triangular matrices with coefficients in } \mathbb{F}_q\}.$$

It is clear that $U_n(q)$ is the disjoint union

$$U_n(q) = \bigcup_{\lambda \vdash [n]} \mathcal{K}_\lambda,$$

and that each superclass is a union of conjugacy classes of $U_n(q)$.

A function $\xi: U_n(q) \rightarrow \mathbb{C}$ is said to be a SUPERCLASS FUNCTION if ξ is constant in each superclass of $U_n(q)$. We define

$$\text{SC}_n = \{\text{superclass functions of } U_n(q)\}.$$

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SC_n is a (complex) vector space with a base $\{\kappa_\lambda : \lambda \vdash [n]\}$ formed by the characteristic functions of the superclasses.

Another base is made up of the supercharacters of $U_n(q)$.

A function $\xi \in SC_n$ is a SUPERCHARACTER OF $U_n(q)$ if:

- ξ is *supercentral*: $\xi(g) = \xi(e_\lambda)$ whenever $g \in \mathcal{K}_\lambda$ for $\lambda \vdash [n]$.

- ξ is *normalised*: $\xi(1) = 1$.

- ξ is *positive definite*:

- $\xi(g^{-1}) = \overline{\xi(g)}$ for all $g \in G$.

- For any $g_1, \dots, g_k \in G$, the hermitic matrix

$$[\phi(g_i g_j^{-1})]_{1 \leq i, j \leq k}$$

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We consider $U_{n-1}(q)$ as a subgroup of $U_n(q)$ in a natural way:

$$U_{n-1}(q) \cong \begin{pmatrix} U_{n-1}(q) & 0 \\ 0 & 1 \end{pmatrix} \leq U_n(q).$$

For each $\Lambda \vdash [n]$, the *restriction*

$$\text{Res}_{U_{n-1}(q)}^{U_n(q)}(\xi_\Lambda)$$

is a superclass function of $U_{n-1}(q)$.

In fact,

$$\text{Res}_{U_{n-1}(q)}^{U_n(q)}(\xi_\Lambda) = \sum_{\lambda \vdash [n-1]} \kappa(\lambda, \Lambda) \xi_\lambda, \quad \kappa(\lambda, \Lambda) \in \mathbb{N}_0.$$

In this way, we obtain the multiplicity function

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Example

For $1 \leq i < j \leq n$, we define $\xi_{i,j}: U_n(q) \rightarrow \mathbb{C}$ as follows.

If $g \in K_\lambda$ for $\lambda \vdash [n]$, we put

$$d_{i,j}(\lambda) = \#\{i < k < j: (k,l) \in D(\lambda) \text{ for some } i < k < l < j\}.$$

Then,

$$\xi_{i,j}(g) = \begin{cases} 0, & \text{if } \{(i,k), (k,j)\} \cap D(\lambda) \neq \emptyset \text{ for some } i < k < j, \\ -q^{d_{i,j}(\lambda)}, & \text{if } (i,j) \in D(\lambda), \\ q^{d_{i,j}(\lambda)}, & \text{otherwise} \end{cases}$$

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We can prove that

$$\text{Res}_{U_{n-1}(q)}^{U_n(q)}(\xi_{i,j}) = \begin{cases} \xi_{i,j}, & \text{if } j < n, \\ 1_{U_{n-1}(q)} + \xi_{i,i+1} + \cdots + \xi_{i,n-1}, & \text{otherwise.} \end{cases}$$

Note that the trivial character

$$1_{U_n(q)} = \xi_{1/2/\dots/n}$$

is the supercharacter that corresponds to the partition $\lambda = 1/2/\dots/n$ (where $D(\lambda) = \emptyset$).

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With respect to the topology of pointwise convergence, the space $\mathcal{H}(\Gamma, \kappa)$ is convex, compact and metrizable. We denote by

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Harmonic functions occur naturally associated with extreme characters, or supercharacters, of certain group chains.

For example,

- The chain

$$S_1 \subseteq S_2 \subseteq \cdots \subseteq S_n \subseteq S_{n+1} \subseteq \cdots$$

is associated with the *extreme characters* of the *infinite symmetric group*

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- ξ is *normalised*.
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The set SCh of supercharacters of U_∞ is convex. An extreme point of SCh is called an EXTREME SUPERCHARACTER of $U_\infty(q)$.

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Example

For $1 \leq i \leq n$, we define $\xi_i: U_\infty(q) \rightarrow \mathbb{C}$ as follows.

If $g \in U_\infty(q)$, we choose $n \in \mathbb{N}$ such that $g \in U_n(q)$. Then, $g \in \mathcal{K}_\lambda$ for some $\lambda \vdash [n]$.

Setting

$$d(\lambda) = \#\{i < j \leq n: (j, k) \in D(\lambda) \text{ for some } j < k \leq n\},$$

we define

$$\xi_i(g) = \xi_i(1 + e_\lambda) = \begin{cases} 0, & \text{if } (i, k) \in D(\lambda) \text{ for some } i < k \leq n, \\ q^{d(\lambda)}, & \text{otherwise} \end{cases}$$

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Indeed:

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For any extreme supercharacter ξ of $U_\infty(q)$, there exists a sequence

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Therefore, the coefficients $\varphi(\lambda)$ satisfy

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to be the set of non-negative functions in $D(\Gamma, \kappa)$.

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$$D^+(\Gamma, \kappa) \subseteq D(\Gamma, \kappa)$$

to be the set of non-negative functions in $D(\Gamma, \kappa)$.

The following properties hold:

- If $\psi \in D^+(\Gamma, \kappa)$, then $n\psi \in D^+(\Gamma, \kappa)$ for all $n \in \mathbb{N}$.
- $(-D^+(\Gamma, \kappa)) \cap D^+(\Gamma, \kappa) = \{0\}$.
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To each finite path $\rho = (\emptyset, \lambda_1, \dots, \lambda_n)$ in Γ , we associate the product

$$\kappa(\rho) = \prod_{1 \leq k \leq n} \kappa(\lambda_{k-1}, \lambda_k)$$

and define the DIMENSION OF A VERTEX $\lambda \in \Lambda$ by

$$\dim(\lambda) = \sum_{\text{paths } \rho \text{ from } \emptyset \text{ to } \lambda} \kappa(\rho).$$

The correspondence $\lambda \mapsto \dim(\lambda)$ defines a special element $e \in D^+(\Gamma, \kappa)$ that satisfies the *archimedean property*:

- For every $\psi \in D^+(\Gamma, \kappa)$, there exists $n \in \mathbb{N}$ such that $\psi \leq ne$.

[e is an *order identity* of $D(\Gamma, \kappa)$].

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The Riesz group $D(\Gamma, \kappa)$ plays a fundamental role in the study of extreme harmonic functions in $\mathcal{H}(\Gamma, \kappa)$.

In fact, the study of the space $\mathcal{H}(\Gamma, \kappa)$ reduces to the study of the space $\mathcal{F}_e(\Gamma, \kappa)$ consisting of all homomorphisms of ordered groups $\vartheta: D(\Gamma, \kappa) \rightarrow \mathbb{R}$. In particular, the space SCh also reduces to the study of $\mathcal{F}_e(\Gamma, \kappa)$.

For some branching schemes, the group $D(\Gamma, \kappa)$ has a structure of *Riesz ring*, and this guarantees (for example) that the boundary $\partial(\Gamma, \kappa)$ is a closed subset of $\mathcal{H}(\Gamma, \kappa)$.

$D(\Gamma, \kappa)$ is a **RIESZ RING** if there exists a multiplication in $D(\Gamma, \kappa)$ that is compatible with the order \leq and such that the order identity $e \in D^+(\Gamma, \kappa)$ is the identity of $D(\Gamma, \kappa)$ with respect to this multiplication.

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We say that the branching scheme (Γ, κ) is MULTIPLICATIVE if there exists a commutative graded ring with identity

$$R = \bigoplus_{n \in \mathbb{N}_0} R_n$$

satisfying:

- There exists a basis $\{a_\lambda : \lambda \in \Gamma\}$ consisting of homogeneous elements such that for all $n \in \mathbb{N}_0$, $\{a_\lambda : \lambda \in \Gamma_n\}$ is a basis of R_n .
- There is an element $a_1 \in R_1$ such that:

- $a_1 = \sum_{\lambda \in \Gamma_1} m_\lambda a_\lambda, \quad m_\lambda \geq 0 \text{ to } \lambda \in \Gamma_1,$

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Example

The Young's scheme is *multiplicative*:

- For $R = \bigoplus_{n \in \mathbb{N}_0} R_n$, we consider the ring of symmetric functions in commutative indeterminates $X_1, X_2, \dots, X_n, \dots$,
- The base $\{a_\lambda : \lambda \in \Gamma\}$ consists of all *Schur's functions*: Pieri's rule guarantees that

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In general, we have

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If (Γ, κ) is a multiplicative branching scheme and $\varphi \in \mathcal{H}(\Gamma, \kappa)$, we define $\psi: R \rightarrow \mathbb{R}$ by

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Then φ is an extreme harmonic function if and only if ψ is a ring homomorphism.

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In the case of the group $U_\infty(q)$, the \mathbb{Z} -module

$$SC = \bigoplus_{n \in \mathbb{N}} SC_n$$

has a graded ring structure with respect to multiplication defined by

$$\xi \cdot \xi' = \text{SInd}_{U_m(q) \times U_n(q)}^{U_{m+n}(q)}(\xi \times \xi'), \quad \xi \in SC_m, \zeta \in SC_n.$$

Here, the direct product $U_m(q) \times U_n(q)$ is identified with the subgroup

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SUPERINDUCTION $\text{SInd}_{U_m(q) \times U_n(q)}^{U_{m+n}(q)}(\xi \times \xi')$ is uniquely determined by the formula

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where $\langle \cdot, \cdot \rangle$ is the usual Frobenius product.

In particular, if ξ_1 is the unique supercharacter of $U_1(q)$, then

$$\xi_1 \cdot \xi_\lambda = \sum_{\Lambda \in \Gamma_{n+1}} \kappa(\lambda, \Lambda) \xi_\Lambda, \quad \lambda \in \Gamma_n, n \in \mathbb{N}.$$

Thus, the base of the supercharacters $\{\xi_\lambda : \lambda \in \Gamma\}$ satisfies the conditions required for (Γ, κ) to be a multiplicative scheme.

However, SC is a **non-commutative** ring.

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For each $J \subseteq [n]$, let $U_J(q)$ be the subgroup of $U_n(q)$ consisting of all matrices whose entries (i,j) , for $1 \leq i < j \leq n$ with $i, j \notin J$, are zero. Of course, if $|J| = m$, then there is an isomorphism

$$\text{st}_J: U_J(q) \rightarrow U_m(q).$$

For example, for $J = \{2, 4, 6\} \subseteq [6]$, we have

$$U_J(q) = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & * & 0 & * \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & * \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \cong \begin{bmatrix} 1 & * & * & 0 & 0 & 0 \\ 0 & 1 & * & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \cong U_3(q).$$

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For $J \subseteq [n]$ with $|J| = m$ and $\xi \in \text{SC}_n$, we define the J -restriction

$${}^J \text{Res}_{U_m(q) \times U_{n-m}(q)}^{U_n(q)}(\xi) = \text{Res}_{U_{J/J^c}(q)}^{U_n(q)}(\xi) \circ \text{st}_{J/J^c}^{-1}$$

where $J^c = [n] \setminus J$, $U_{J/J^c}(q) = U_J(q)U_{J^c}(q)$ and

$$\text{st}_{J/J^c}(gg') = \begin{pmatrix} g & 0 \\ 0 & g' \end{pmatrix}, \quad g \in U_J(q), \quad g' \in U_{J^c}(q).$$

For $J = \{2, 4, 6\} \subseteq [6]$, we have

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In particular, we obtain a map $\text{Res}'_{U_{n-1}(q)}^{U_n(q)}: \text{SC}_n \rightarrow \text{SC}_{n-1}$ defined by

$$\text{Res}'_{U_{n-1}(q)}^{U_n(q)}(\xi) = \sum_{J \subseteq [n], |J|=n-1} {}^J \text{Res}_{U_{n-1}(q) \times U_1(q)}^{U_n(q)}(\xi).$$

We define a new *branching scheme* (Γ, κ') in which the multiplicity function is defined by the decomposition

$$\text{Res}'_{U_{n-1}(q)}^{U_n(q)}(\xi_\Lambda) = \sum_{\lambda \in \Gamma_{n-1}} \kappa'(\lambda, \Lambda) \xi_\lambda, \quad \Lambda \in \Gamma_n.$$

On the other hand, if $J \subseteq [n]$ and $|J| = m$, we define the *J-superinduction* by

$${}^J \text{SInd}_{U_m(q) \times U_n(q)}^{U_{m+n}(q)}(\xi \times \xi') = \text{SInd}_{U_{J/J^c}(q)}^{U_{n+m}(q)}((\xi \times \xi') \circ \text{st}_{J/J^c}).$$

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We thus obtain a commutative multiplication in $SC = \bigoplus_{n \in \mathbb{N}} SC_n$ by setting

$$\xi \cdot \xi' = \sum_{J \subseteq m+n, |J|=m} {}^J \text{SInd}_{U_m(q) \times U_n(q)}^{U_{m+n}(q)} (\xi \times \xi').$$

For this multiplication, we have

$$\xi_\lambda \cdot \xi_\lambda = \sum_{\Lambda \in \Gamma_{n+1}} \kappa'(\lambda, \Lambda) \xi_\Lambda, \quad \lambda \in \Gamma_n, n \in \mathbb{N},$$

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Theorem

For each $n \in \mathbb{N}$, let ξ_n be a supercharacter of $U_n(q)$ and suppose that the sequence $(\xi_n(g))_{n \in \mathbb{N}}$ is convergent for all $g \in U_\infty(q)$. Then, the function $\xi: U_\infty(q) \rightarrow \mathbb{C}$, defined by

$$\xi(g) = \lim_{n \rightarrow \infty} \xi_n(g), \quad g \in U_\infty(q),$$

is an extreme supercharacter of $U_\infty(q)$.

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Thank you!!!