



Emily Riehl

Johns Hopkins University

A synthetic theory of ∞ -categories in homotopy type theory

joint with Michael Shulman

CT2017 UBC, Vancouver, Canada

Motivation



Why do I study *category theory*?

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— I find category theoretic arguments to be aesthetically appealing.

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What draws me to [homotopy type theory](#)?



Why do I study *category theory*?

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What draws me to *homotopy type theory*?

— I find homotopy type theoretic arguments to be aesthetically appealing.



1. Homotopy type theory
2. A type theory for synthetic $(\infty, 1)$ -categories
3. Segal types and Rezk types
4. The synthetic theory of $(\infty, 1)$ -categories



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4. The synthetic theory of $(\infty, 1)$ -categories

Main takeaway: the [dependent Yoneda lemma](#) is a directed analogue of [path induction](#) in HoTT.



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Types, terms, and type constructors



Homotopy type theory has:

- types A, B, \dots

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Homotopy type theory has:

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- dependent types $x : A \vdash B(x)$ type, $x, y : A \vdash B(x, y)$ type

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Propositions as types:

$A \times B$		A and B	$\sum_{x:A} B(x)$		$\exists x. B(x)$
$A + B$		A or B	$\prod_{x:A} B(x)$		$\forall x. B(x)$
$A \rightarrow B$		A implies B	$x =_A y$		x equals y

Dependent sums and products



Formation rules for dependent sums and products

$$\frac{x : A \vdash B(x) \text{ type}}{\sum_{x:A} B(x) \text{ type}}$$

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Semantics

$$\left\{ \begin{array}{l} (a, u) : \sum_{x:A} B(x) \\ B(a) \rightarrow \sum_{x:A} B(x) \\ \begin{array}{ccc} u \uparrow \downarrow & \lrcorner & \downarrow \\ 1 & \xrightarrow{a} & A \end{array} \end{array} \right.$$

$$\begin{array}{l} f : \prod_{x:A} B(x) \\ \sum_{x:A} B(x) \\ \begin{array}{c} \downarrow \uparrow \\ A \quad f \end{array} \end{array}$$

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Identity types



Formation rule for identity types

$$\frac{x, y : A}{x =_A y \text{ type}}$$

Identity types



Formation and introduction rules for identity types

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Semantics

$$\left\{ \begin{array}{c} \sum_{x,y:A} x =_A y \\ \downarrow \\ A \xrightarrow[\Delta]{} A \times A \end{array} \right.$$

(Note: A dashed orange arrow labeled refl_x points from A to $\sum_{x,y:A} x =_A y$)

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The diagram shows a commutative triangle. The top vertex is the sum type $\sum_{x,y:A} x =_A y$. The bottom-left vertex is the type A . The bottom-right vertex is the product type $A \times A$. A solid arrow labeled Δ points from A to $A \times A$. A dashed arrow labeled refl_x points from A to $\sum_{x,y:A} x =_A y$. A solid arrow labeled \downarrow points from $\sum_{x,y:A} x =_A y$ to $A \times A$.

Indiscernability of identicals: If $B(x)$ is a type family dependent on $x : A$,

$$\phi : \prod_{x,y:A} \prod_{p:x=_A y} B(x) \rightarrow B(y).$$

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The diagram shows a mapping from the identity type $\sum_{x,y:A} x =_A y$ to the Cartesian product $A \times A$. A solid arrow labeled Δ points from A to $A \times A$. A dashed orange arrow labeled refl_x points from A to the identity type $\sum_{x,y:A} x =_A y$. A vertical arrow labeled \downarrow points from the identity type to $A \times A$.

Indiscernability of identicals: If $B(x)$ is a type family dependent on $x : A$,

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Thus, if $x =_A y$ then $B(x) \rightarrow B(y)$.

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The identity type family is freely generated by the terms $\text{refl}_x : x =_A x$.

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Path induction: If $B(x, y, p)$ is a type family dependent on $x, y : A$ and $p : x =_A y$, then there is a function

$$\text{path-ind} : \left(\prod_{x:A} B(x, x, \text{refl}_x) \right) \rightarrow \left(\prod_{x,y:A} \prod_{p:x=_A y} B(x, y, p) \right).$$

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The ∞ -groupoid structure of A with

- terms $x : A$ as objects
- paths $p : x =_A y$ as 1-morphisms
- paths of paths $\alpha : p =_{x=_A y} q$ as 2-morphisms, ...

arises automatically from the path induction principle.



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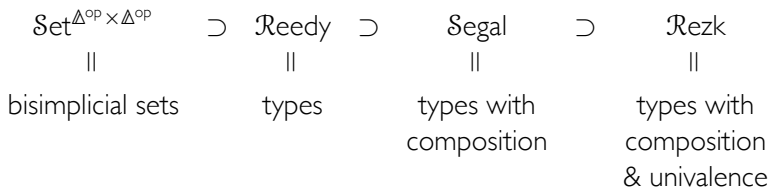
A type theory for synthetic
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The intended model



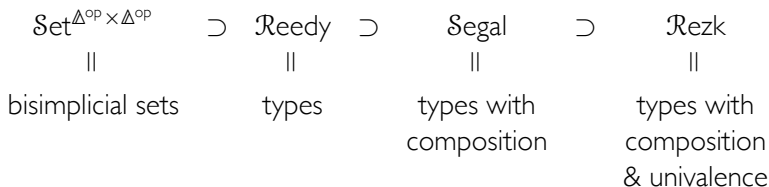
$$\begin{array}{ccccccc} \mathbf{Set}^{\Delta^{\text{op}} \times \Delta^{\text{op}}} & \supset & \mathcal{R}\text{eedy} & \supset & \mathcal{S}\text{egal} & \supset & \mathcal{R}\text{ezk} \\ \parallel & & \parallel & & \parallel & & \parallel \\ \text{bisimplicial sets} & & \text{types} & & \text{types with} & & \text{types with} \\ & & & & \text{composition} & & \text{composition} \\ & & & & & & \text{\& univalence} \end{array}$$

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Theorem ([Shulman](#)). Homotopy type theory is modeled by the category of **Reedy** fibrant bisimplicial sets.

The intended model



Theorem (Shulman). Homotopy type theory is modeled by the category of **Reedy** fibrant bisimplicial sets.

Theorem (Rezk). $(\infty, 1)$ -categories are modeled by **Rezk spaces** aka complete Segal spaces.

Shapes in the theory of the directed interval



Our types may depend on other types and also on shapes $\Phi \subset \mathcal{2}^n$,
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$$\top, \perp, \wedge, \vee, \equiv \quad \text{and} \quad 0, 1, \leq$$

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Because $\phi \wedge \psi$ implies ϕ , there are **shape inclusions** $\Lambda_1^2 \subset \partial\Delta^2 \subset \Delta^2$.

Extension types



shape inclusion: $\Phi := \{t \in \mathcal{Z}^n \mid \phi\}$ and $\Psi = \{t \in \mathcal{Z}^n \mid \psi\}$ so that ϕ implies ψ , i.e., so that $\Phi \subset \Psi$.

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Formation rule for extension types

$$\frac{\Phi \subset \Psi \text{ shape} \quad A \text{ type} \quad a : \Phi \rightarrow A}{\left\langle \begin{array}{c} \Phi \xrightarrow{a} A \\ \downarrow \quad \swarrow \\ \Psi \end{array} \right\rangle \text{ type}}$$

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The simplicial type theory allows us to *prove* equivalences between extension types along composites or products of shape inclusions.



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Segal types and Rezk types

Hom types

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A term $f : \text{hom}_A(x, y)$ defines an **arrow** from x to y .

Segal types have unique binary composites



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inhabitant and write $g \circ f : \text{hom}_A(x, z)$ for its inner face, *the composite of f and g .*

Identity arrows



For any $x : A$, the constant function defines a term

$$\text{id}_x := \lambda t.x : \text{hom}_A(x, x) := \left\langle \begin{array}{ccc} \partial\Delta^1 & \xrightarrow{[x,x]} & A \\ \Downarrow & & \uparrow \\ \Delta^1 & \xrightarrow{\text{dashed}} & A \end{array} \right\rangle,$$

which we denote by id_x and call the **identity arrow**.

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For any $f : \text{hom}_A(x, y)$ in a Segal type A , the term

$$\lambda(s, t).f(t) : \left\langle \begin{array}{ccc} \Lambda_1^2 & \xrightarrow{[\text{id}_x, f]} & A \\ \Downarrow & \nearrow & \\ \Delta^2 & & \end{array} \right\rangle$$

witnesses the unit axiom $f = f \circ \text{id}_x$.

Associativity of composition



Let A be a Segal type with arrows

$$f : \text{hom}_A(x, y), \quad g : \text{hom}_A(y, z), \quad h : \text{hom}_A(z, w).$$

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Associativity of composition

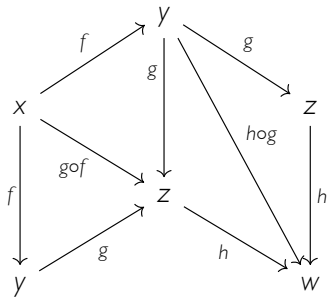


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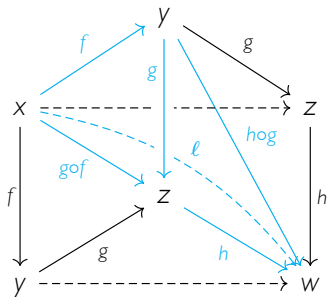


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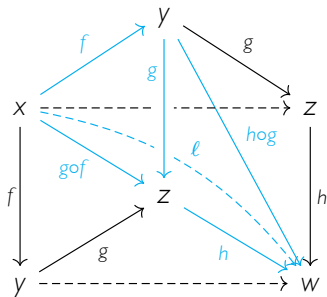


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Composing defines a term in the type $\Delta^2 \rightarrow (\Delta^1 \rightarrow A)$ which yields a term $l : \text{hom}_A(x, w)$ so that $l = h \circ (g \circ f)$ and $l = (h \circ g) \circ f.$

Isomorphisms



An arrow $f: \text{hom}_A(x, y)$ in a Segal type is an **isomorphism** if it has a two-sided inverse $g: \text{hom}_A(y, x)$. However, the type

$$\sum_{g: \text{hom}_A(y, x)} (g \circ f = \text{id}_x) \times (f \circ g = \text{id}_y)$$

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$$\text{isiso}(f) := \left(\sum_{g: \text{hom}_A(y, x)} g \circ f = \text{id}_x \right) \times \left(\sum_{h: \text{hom}_A(y, x)} f \circ h = \text{id}_y \right).$$

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For $x, y : A$, the **type of isomorphisms** from x to y is:

$$x \cong_A y := \sum_{f: \text{hom}_A(x, y)} \text{isom}(f).$$

Rezk types



By path induction, to define a map

$$\text{id-to-iso} : (x =_A y) \rightarrow (x \cong_A y)$$

for all $x, y : A$ it suffices to define

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Discrete types



Similarly by path induction define

$$\text{id-to-arr} : \prod_{x,y:A} (x =_A y) \rightarrow \text{hom}_A(x,y) \quad \text{by} \quad \text{id-to-arr}(\text{refl}_x) := \text{id}_x.$$

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A type A is **discrete** if **id-to-arr** is an equivalence for all $x, y : A$.

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Proof:

$$\begin{array}{ccc} x =_A y & \xrightarrow{\text{id-to-arr}} & \text{hom}_A(x,y) \\ & \searrow \text{id-to-iso} & \nearrow \\ & x \cong_A y & \end{array}$$



4

The synthetic theory of
 $(\infty, 1)$ -categories

Covariant fibrations I



A type family $x : A \vdash B(x)$ over a Segal type A is **covariant** if for every $f : \text{hom}_A(x, y)$ and $u : B(x)$ there is a unique lift of f with domain u .

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Recall

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Prop. For $u : B(x)$, $f : \text{hom}_A(x, y)$, and $g : \text{hom}_A(y, z)$,

$$g_*(f_*u) = (g \circ f)_*u \quad \text{and} \quad (\text{id}_x)_*u = u.$$



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The Yoneda lemma

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Yoneda lemma. The maps

$$\text{ev-id} := \lambda\phi.\phi(a, \text{id}_a) : \left(\prod_{x:A} \text{hom}_A(a, x) \rightarrow B(x) \right) \rightarrow B(a)$$

and

$$\text{yon} := \lambda u.\lambda x.\lambda f.f_*u : B(a) \rightarrow \left(\prod_{x:A} \text{hom}_A(a, x) \rightarrow B(x) \right)$$

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Proof: The transport operation for covariant families is functorial in A and fiberwise maps between covariant families are automatically natural.

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Note. A representable isomorphism $\phi : \prod_{x:A} \text{hom}_A(a, x) \cong \text{hom}_A(b, x)$ induces an identity $\text{ev-id}(\phi) : b =_A a$ if the Segal type A is Rezk.

The dependent Yoneda lemma



From a type-theoretic perspective, the Yoneda lemma is a “directed” version of the “transport” operation for identity types. This suggests a “dependently typed” generalization of the Yoneda lemma, analogous to the full induction principle for identity types.

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Dependent Yoneda lemma. If A is a Segal type and $B(x, y, f)$ is a covariant family dependent on $x, y : A$ and $f : \text{hom}_A(x, y)$, then evaluation at (x, x, id_x) defines an equivalence

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This is useful for proving equivalences between various types of coherent or incoherent adjunction data.

Dependent Yoneda is directed path induction

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Path induction: If $B(x, y, p)$ is a type family dependent on $x, y : A$ and $p : x =_A y$, then there is a function

$$\text{path-ind} : \left(\prod_{x:A} B(x, x, \text{refl}_x) \right) \rightarrow \left(\prod_{x,y:A} \prod_{p:x=_A y} B(x, y, p) \right).$$

Thus, to prove $B(x, y, p)$ it suffices to assume y is x and p is refl_x .

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Dependent Yoneda Lemma: If $B(x, y, f)$ is a covariant family dependent on $x, y : A$ and $f : \text{hom}_A(x, y)$ and A is Segal, then there is a function

$$\text{id-ind} : \left(\prod_{x:A} B(x, x, \text{id}_x) \right) \rightarrow \left(\prod_{x,y:A} \prod_{f:\text{hom}_A(x,y)} B(x, y, f) \right).$$

Thus, to prove $B(x, y, p)$ it suffices to assume y is x and f is id_x .

References



For considerably more, see:

Emily Riehl and Michael Shulman, [A type theory for synthetic \$\infty\$ -categories](#), [arXiv:1705.07442](#)

To explore homotopy type theory:

[Homotopy Type Theory: Univalent Foundations of Mathematics](#),
<https://homotopytypetheory.org/book/>

Michael Shulman, [Homotopy type theory: the logic of space](#),
[arXiv:1703.03007](#)

Thank you!